Marie Skłodowska-Curie Actions

Research and Innovation Staff Exchange - RISE

Implementation Modalities

NCP INFO session

Brussels, 12th February 2014

Frank MARX
Deputy Head of Unit
Research Executive Agency
Summary

- General Aspects
- Definitions
- Eligibility
- EU Funding
- Evaluation Criteria
- Proposal Structure
- Calls
- Links
General Aspects

- All Countries can participate in RISE

- All nationalities can participate in RISE

- All institutions fulfilling the requirements of the Horizon 2020 Rules for Participation can participate in RISE

- No mobility rules for RISE
- Project built on joint research and innovation activities

- Project implemented through the secondment of staff (no recruitments)

- Each staff member seconded for a period of 1 to 12 months

- The maximum size for a project is 540 person months

- No minimum size explicitly defined for the project, but substantial impact is expected

- Maximum project duration is 4 years
The **minimum length of 1 month** is reached if either:

**a.** The secondment **starts on day** *n* of a month (month *N*) and **ends on day** *n*-1 of the next month (month *N+1*) – If there is only **1 period of secondment** (or several consecutive ones)

**or**

**b.** The duration of the several secondments periods adds up to 30 days – If there are **at least 2 non-consecutives** periods of secondment
- Beneficiaries
  - Sign the Grant Agreement and claim costs
  - Are responsible for the execution of the programme
  - Are established in a MS/AC

- Partner Organisations
  - Do not sign the Grant Agreement and do not claim costs
  - Must include a letter of commitment in the proposal
  - Are established in a TC
The sector of an organisation is defined automatically

- **Academic Sector**
  - Higher education establishments (public or private)
  - Non-profit research organisations (public or private)
  - International European interest organisations

- **Non-Academic Sector**
  - Any socio-economic actor not included in the academic sector and fulfilling the requirements of the *Horizon 2020 Rules for Participation* (SMEs, multinationals, NGOs, museums, etc.)
- Actively engaged in or linked to research/innovation activities for at least 6 months prior to first secondment

- Types of staff members:
  - ESR (no PhD and < 4 years experience)
  - ER (PhD or > 4 years experience)
  - Managerial staff
  - Administrative or Technical staff

- In-built return mechanism
Minimum Eligibility Conditions

- At least 3 independent participants in 3 different countries
- At least 2 participants from 2 different MS/AC
- If all in MS/AC: at least 1 academic and 1 non-academic

In practice, 2 possible minimum settings:
Eligible Secondments 1

Intra-European Exchanges

<table>
<thead>
<tr>
<th>Country</th>
<th>Sector</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non-Academic</td>
<td>MS/AC 1</td>
</tr>
<tr>
<td>Academic</td>
<td>MS/AC 2</td>
</tr>
<tr>
<td>Non-Academic</td>
<td>MS/AC 3</td>
</tr>
</tbody>
</table>

- **Same sector**: Indicates exchanges within the same sector (Academic or Non-Academic).
- **Same Country**: Indicates exchanges within the same country (e.g., A to C or B to D).
- **X**: Indicates an ineligible exchange (e.g., A to C or B to D).
Europe-Third Countries Exchanges

Secondments from a TC to a MS/AC are not always eligible for EU funding but all of them must be described in the proposal.
Secondments Described

Secondments that **must** be described in the proposal:

- MS/AC 1 Academic → MS/AC 2 Non-Academic: Eligible for EU Funding
- MS/AC → TC: Eligible for EU Funding
- TC → MS/AC: Eligibility for EU funding depends on the TC

Secondments that **must not** be described in the proposal:

*All other possibilities, such as:*

- MS/AC 1 Academic ↔ MS/AC 2 Academic
- MS/AC 1 Non-Academic ↔ MS/AC 2 Non-Academic
- MS/AC 1 ↔ MS/AC 1
- TC ↔ TC
- EU Member States

- Overseas Countries and Territories linked to the MS
  (As defined on page 3 of General Annex A to the Horizon 2020 Work Programme 2014-2015)

- Horizon 2020 Associated Countries
  (In principle, the same as FP7, but subject to the adoption of the association agreements)

- The Third Countries listed
Countries not listed previously are **not eligible for EU funding**

In practice, those countries are mainly: Australia, Brazil, Canada, China, India, Japan, Mexico, New Zealand, Republic of Korea, Russia, United States.

In **very exceptional cases**, partners from those countries might be funded. But the following conditions have to be fulfilled:

a) This partner has competences/expertise that **no** organisation in MS/AC has

b) The relevant transfer of knowledge can only be done via a secondment in the direction TC → MS/AC

c) Points a) and b) must be endorsed by the expert evaluators

d) The experts' endorsement (point c) must be confirmed by the REA
## Unit costs per researcher per month of secondment

For secondments eligible for funding

<table>
<thead>
<tr>
<th>Marie Skłodowska-Curie Action</th>
<th>Staff member unit cost * &lt;br&gt;person/month</th>
<th>Institutional unit cost *&lt;br&gt;person/month</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research and Innovation Staff Exchange</td>
<td>2 000</td>
<td>1 800</td>
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*These unit costs are subject to a funding rate of 100% and no country coefficients apply.*
Evaluation Panels:

- Chemistry (CHE)
- Social Sciences and Humanities (SOC)
- Economic Sciences (ECO)
- Information Science and Engineering (ENG)
- Environment and Geosciences (ENV)
- Life Sciences (LIF)
- Mathematics (MAT)
- Physics (PHY)

Evaluation Criteria:

- Award Criteria
- Selection Criteria
### Award Criteria

#### 3. RISE - Marie Skłodowska-Curie Action: Research and Innovation Staff Exchange

<table>
<thead>
<tr>
<th>Excellence</th>
<th>Impact</th>
<th>Implementation</th>
</tr>
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<tbody>
<tr>
<td>Quality, innovative aspects and credibility of the research (including inter/multidisciplinary aspects)</td>
<td>Enhancing research- and innovation-related human resources, skills and working conditions to realise the potential of individuals and to provide new career perspectives</td>
<td>Overall coherence and effectiveness of the work plan, including appropriateness of the allocation of tasks and resources</td>
</tr>
<tr>
<td>Clarity and quality of knowledge sharing among the participants in light of the research and innovation objectives.</td>
<td>To develop new and lasting research collaborations, to achieve transfer of knowledge between research institutions and to improve research and innovation potential at the European and global levels</td>
<td>Appropriateness of the management structures and procedures, including quality management and risk management</td>
</tr>
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<td>Quality of the interaction between the participating organisations</td>
<td>Effectiveness of the proposed measures for communication and results dissemination</td>
<td>Appropriateness of the institutional environment (infrastructure)</td>
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<td></td>
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<tr>
<td>50%</td>
<td>30%</td>
<td>20%</td>
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<tr>
<td>Weighting</td>
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<tr>
<td>1</td>
<td>2</td>
<td>3</td>
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<td>Priority in case of ex aequo</td>
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Selection Criteria

- Operational capacity has to be checked

- Proposals must include a description of:
  
  • The profile of the people who will be primarily responsible for carrying out the proposed work
  
  • Any significant infrastructure or major items of technical equipment, relevant to the proposed work
  
  • Any partner organisations (not beneficiaries) contributing towards the proposed work
- **Section 1**: General information (including abstract)
- **Section 2**: Information on participants
- **Section 3**: Budget and Secondments tables
- **Section 4**: Ethics table
LIST OF PARTICIPANTS (including data for non-academic beneficiaries)

1. SUMMARY
2. EXCELLENCE
3. IMPACT
4. IMPLEMENTATION

30 pages limit

5. REFERENCES
6. CAPACITIES OF THE PARTICIPATING ORGANISATIONS
7. ETHICS ASPECTS
8. LETTERS OF COMMITMENT OF PARTNER ORGANISATIONS
**RISE Calls**

**Present call:**  
- H2020-MSCA-RISE-2014  
- Currently Open  
- Deadline: **24 April 2014**

**Next call:**  
- H2020-MSCA-RISE-2015  
- Opens 06 January 2015  
- Deadline: **28 April 2015**

Results of the evaluation: 5 months after the call deadline

Signing of grant agreements: 8 months after the call deadline
Useful Links

Guide for Applicants

Work Programme

General Annexes

Rules for participation

List of fields covered by the Evaluation Panels
Thank You