



6/10/2020





The organizers: the ERC National Contact Points (NCPs)

### **NCP FLANDERS**

### Flemish academia and research centers:

Margot Beereboom, NCP ERC www.ncpflanders.be



### **Fédération Wallonie Bruxelles:**

Natacha Wittorski, NCP ERC www.ncp.fnrs.be



### **Federal institutions:**

Bram Lefever, NCP ERC <a href="http://eurofed.belspo.be">http://eurofed.belspo.be</a>





## Programme of the webinar

- Welcome and presentation of speakers
- **ERC Starting and Consolidator Grants: I. SUBMISSION & II. EVALUATION**

Dr. Wieland Staessens, Scientific Officer unit B4, ERCEA

**Testimony from an ERC panel member** 

Prof. Pascale Vielle, former panel member, SH2, UCLouvain

**Testimony from an ERC Consolidator grantee** 

Prof. Koen Vandewal, ERC CoG 2019, PE 4 panel, UHasselt

- Short break
- **Testimonies from ERC Starting grantees**
- Prof. Nele Vandersickel, ERC StG 2020, LS7 panel, UGent
- Prof. Paula Gobbi, ERC StG 2020, SH 1 panel, ULB
- **ERC Starting and Consolidator Grants: III. OUTCOME**

Dr. Wieland Staessens, Scientific Officer unit B4, ERCEA







### **Technical introduction**

- How to ask questions to the speakers? Use the "Questions & Answers" function of Zoom. Please be clear and concise!
- You can upvote questions from other attendees
- For a technical question, send a chat message to the panelists
- Do not send content questions through the chat
- Yes the slides will be made available: on <a href="https://www.horizon-europe-info-sessions.be/event/erc-starting-consolidator-calls-2021-webinar-format/">https://www.horizon-europe-info-sessions.be/event/erc-starting-consolidator-calls-2021-webinar-format/</a>

# ERC & Horizon Europe: prospects for 2021 calls

# **Dr. Wieland Staessens**

**ERC Executive Agency** 

Scientific Department

Physical Sciences & Engineering



ERC Info Session Belgium 06-10-2020



# What is the ERC?



# **European Research Council (ERC):**

EU funding agency supporting <u>excellence</u> in frontier research through a <u>bottom-up</u>, individual based, pan-European <u>competition</u>

- Support Individual Researchers through Grants
  - Gain scientific recognition (ERC as quality label of excellence)
  - Scientific & Financial Autonomy
  - Bottom-up: investigator-driven, no predetermined topics
  - Ground-breaking, high risk/high gain research in all fields of science
  - Under best working conditions (HI) & with best team
  - Portability: transfer to any place in EU



# Scientific Council & Executive Agency



European Research Council
Established by the European Commission

### Scientific Council

- 22 prominent scientists
- overall scientific strategy
- control operation quality



# **ERC Executive Agency**

- Former researchers (& administr.)
- Execute annual WP
- Support applicants, Pls, experts, ScC

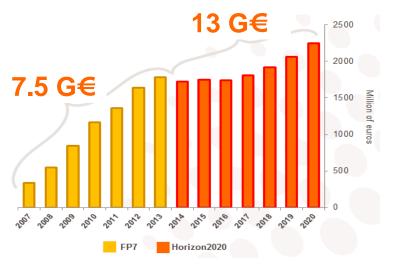
Organise peer review evaluations & Grant Management

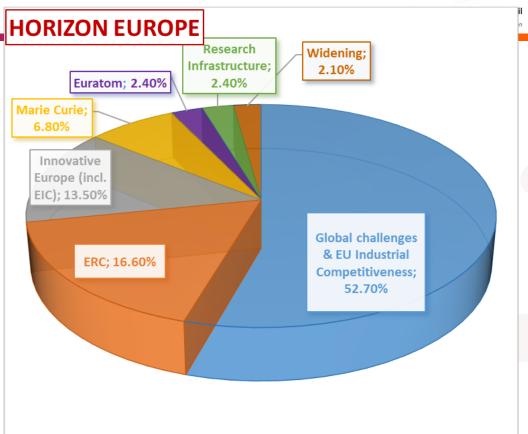


# ERC part of Horizon Europe 2021 - 2027 (after FP7 & H2020)











# Part I

# **SUBMISSION**



# **ERC Grant Schemes in 2021**



Starting (StG)

- 2-7 years after PhD
- Up to 1.5 M€ (+1M€)
- Up to 5 years

Consolidator (CoG)

- > 7-12 years after PhD
- > Up to 2.0 M€ (+1M€)
- Up to 5 years

Advanced (AdG)

- 10-year significant achievements track-record
- > Up to 2.5 M€ (+1M€)
- Up to 5 years

NO SYNERGY

rgy (SyG)

to 10 M€ (+4M€) & 6 years

Proof-of-Concept (PoC)

Earliest stage of marketable innovation €150,000 for ERC grant holders



# **Budget & Extra grant**



Funding requested must be fully justified by estimation of real project cost

Project costs reimbursed at 100% funding rate (for actual incurred costs)

- + 25% indirect costs (flat-rate)
- 1 M€ extra grant can be requested
  - a) Start-up costs for moving to EU/AC from abroad
  - b) Purchase of large equipment
  - c) Access to large facilities
  - d) other major experimental and field work costs (no personnel cost)



# **ERC Proposal Structure**

Only eligibility

# **Evaluation & eligibility**



European Research Council

14 pages

### Part A: Administrative Form

- 1. General Information
- 2. Participants & contacts
- 3. Budget (table + Resources)
- 4. Ethics
- 5. Call-specific questions (+ excluded reviewers)

# Annexes (as pdf)

HI support letter

PhD record + supporting doc (only for StG & CoG)

Part B1	as	pdf)
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Extended synopsis 5 pages (+cross-domain/panel explanation)
Curriculum Vitae (& career gap) 2 pages + Annex: Funding ID
Early achievements track record 2 pages

# Part B2 (as pdf)

Scientific Proposal

- State-of-art & Objectives
- Methodology

Resources & Time Commitment 2 pages



# **Starting & Consolidator Grant Profiles**



## **Starting Grant Profile**

### Consolidator Grant Profile

- Starting independent research
- 2-7 years after PhD (\*)
- 50% time commitment
   50% time in EU/AC
- Early achievements track record
  - Up to 5 publications
  - Research monographs
  - Patents
  - Invited presentations
  - Prizes, awards, etc

- Consolidate independent research
- 7-12 years after PhD (\*)
- 40% time commitment
   50% time in EU/AC
- Early achievements track record
  - Up to 10 publications
  - Research monographs
  - Patents
  - Invited presentations
  - Prizes, awards, etc.

Call window: 12/01 – 09/03

Call window: 21/01 – 20/04

# Eligibility window & Resubmission



- (\*) Counting from 01-01-2021, but extendable beyond 7y (StG) -12y (CoG)
  - Maternity leave (18 months) / Paternity leave (documented time)
  - Long-term illness (documented time)
  - National service (documented time)
  - Clinical training (up to 4y)
- Resubmission restrictions may apply

C at Step 1 in 2019
B or C at Step 1 in 2020

Similar restrictions may apply for future calls



# From H2020 to Horizon Europe



- Continuity and consolidation: "evolution not revolution"
- NEW: Interviews in AdG call
- NEW: 2 new Panels (PE11 & SH7) + changed Panel descriptors
  - → Modernize: adapt to reflect scientific reality & evolution within research
  - Enlarge: include research fields more explicitly
  - Coherence: 27 panels to cover & fund "all fields of science" for all calls

Not a complete classification!!

No ERC priorities in funding!!

Inter-disciplinary proposals across panels are encouraged!!



# **ERC Panel Structure in Horizon Europe**



### **Physical Sciences & Engineering**

- PE1 Mathematics
- PE2 Fundamental Constituents of Matter
- PE3 Condensed Matter Physics
- PE4 Physical and Analytical Chemical Sciences
- PE5 Synthetic Chemistry and Materials
- PE6 Computer Science and Informatics
- PE7 Systems and Communication Engineering
- PE8 Products and Process Engineering
- PE9 Universe Sciences
- PE10 Earth System Science
- PE11 Materials Engineering

# Each panel comes with descriptors



### **Life Sciences**

- LS1 Molecules of Life: Biological Mechanisms, Structures and Functions
- LS2 Integrative Biology: From Genes and Genomes to Systems
- LS3 Cellular, Developmental and Regenerative Biology
- LS4 Physiology in Health, Disease and Ageing
- LS5 Neuroscience and Disorders of the Nervous System
- LS6 Immunity, Infection and Immunotherapy
- LS7 Prevention, Diagnosis and Treatment of Human Diseases
- LS8 Environmental Biology, Ecology and Evolution
- LS9 Biotechnology and Biosystems Engineering

### **Social Sciences and Humanities**

- SH1 Individuals, Markets and Organisations
- SH2 Institutions, Governance and Legal Systems
- SH3 The Social World and Its Diversity
- SH4 The Human Mind and Its Complexity
- SH5 Cultures and Cultural Production
- SH6 The Study of the Human Past
- SH7 Human Mobility, Environment, and Space

# **Submitting an ERC proposal**





Descriptors?

Excellent Idea

StG or CoG?

**Domain & Panel?** 

Write part B1 & B2

Get acquainted with system

**Prepare Annexes** 

Submit on time





# Part II

# **EVALUATION**



# **Evaluation process (2 Steps)**

(StG, CoG, AdG)



European Research Council
Established by the European Commission

STEP 2

Remote assessment by Panel members and Remote Reviewers (specialists) of full proposal (Part B1+B2)

Panel meeting (with interview)

Ranked list of proposals

STEP 1

Remote assessment by Panel members and Cross Panel Reviewers of Part B1

**Panel meeting** 

Proposals retained for step 2

Feedback to applicants

Europear Commiss B

# **ERC Evaluation Criteria**

# **Excellence** is sole criterion



- 1. Research Project
- Ground-breaking nature & potential impact

Important challenges

Ambitious & Beyond SoA

High risk/High gain

Scientific Approach

Feasibility

Methodology & Working arrangements

Time scales, Resources & PI commitment

Only Step 2

2. Principal Investigator

Ability for ground-breaking research

Creative independent thinking

Scientific expertise & capacity



# **Some Hints & Tips**



# Panel Discussion with previous Panel Member & Grantees

### Part B1: Find the right balance

- ✓ Innovative? Beyond state-of-art? High risk/High gain? Realistic/feasible?
- ✓ Outline state of play (incl. competition)
- ✓ Be concise & clear (also for generalists)
- ✓ Think about risk mitigation

### Part B2: Fill in the details

- No verbatim repetition of synopsis
- ✓ Detailed state-of-art
- Extensive methodology and work plan
- ✓ Provide risk mitigation strategies
- Explain involvement of team
- ✓ Justify requested resources



# **Some Hints & Tips**



# Interviews at Step 2

- → typically 5-10 min. presentation + 20-25 min. Q&A
- ✓ Practice in advance and thoroughly (Mock interview)
- ✓ Get Panel interested in YOUR ideas & proposal
- ✓ Present & defend YOUR ideas
- ✓ Being nervous is perfectly normal



# **Avoiding misconceptions**



- ERC funds frontier research, also in applied sciences
- Publication record (i.e. h-index) is not an evaluation criterion
- Host Institution is not a evaluation criterion

- Budget distribution over the panels based on demand (bottom-up)
- Projects shorter than 5 years also welcome (with pro ratio adapted budget)
- Each applicant is treated equally (irrespective of age, gender, previous ERC grant, previous submission, etc)

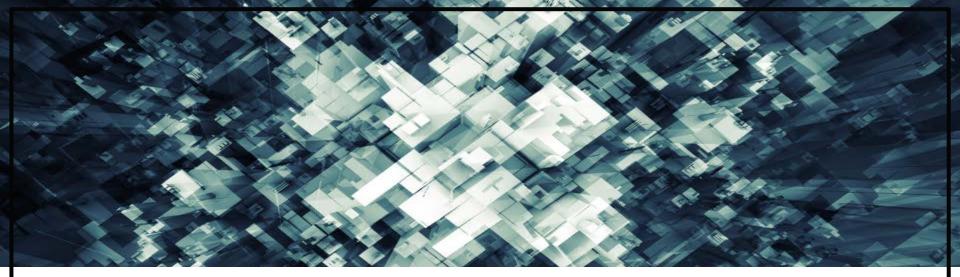


# **Some Hints & Tips**



Panel Discussion with previous Panel Member & Grantees





# **Testimony from ERC Consolidator grantee**

Koen Vandewal

Prof. Hasselt University

Organic Opto-Electronic devices group



### About me

- PhD at Hasselt University
   in Physics Materials science new materials for PV
- 2010 Postdocs at Linkoping University (SE) and Stanford University (US) (never on personal grant)
- 2014 Prof. at TU Dresden (DE)
   first time involved in national and H2020 projects
- 2018 Prof. at Hasselt University (BE)



### About me and ERC

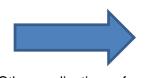
- 2016: applied StG, panel condensed matter physics (1.5 MEUR)
- 2017: applied CoG, panel condensed matter physics (2 MEUR)
- 2018: applied CoG, panel Physical and Analytical Chemical Sciences (2.4 MEUR)
- 2019: applied CoG, panel Physical and Analytical Chemical Sciences (2.4 MEUR)
- CV gets you through first round, content of proposal determines if you get trough second round and funded.
- I changed panel because I found more familiar names in the physical chemistry panels of the previous years.
- General impression upon receiving the reviewer comments: overall comments external reviewers were good, often hard to find substantial criticism.
- At interview, 2-3 panel members ask questions raised by external reviewers. The other panel members sit in silence.
- Upon resubmission and rewriting, I tried to mitigate criticism, but also tried to include the positive criticism or positive comments on feasibility and risks.



# Tips & answers to written questions

Topic should be new, but not too far from expertise.









- Other applications of organic materials
- Ideally: New and exciting (risky) proposal, but applicant is the only one capable or best suited to execute it.
  - Too high risk = no previous expertise or publications on topic
  - Too low risk = all your previous publications are on the topic
- I included preliminary (unpublished) data.
- Structure proposal:
  - B1: innovation and impact, CV and achievements track record most important sections)
  - B2: state-of-the-art, methodology (preliminary work, only scientific WPs), resources (budget)
- Most challenging part of interview: keeping nerves under control, little space to move in small room, blank stares from panel during 10 min presentation, unexpected general questions, over before you realize it.



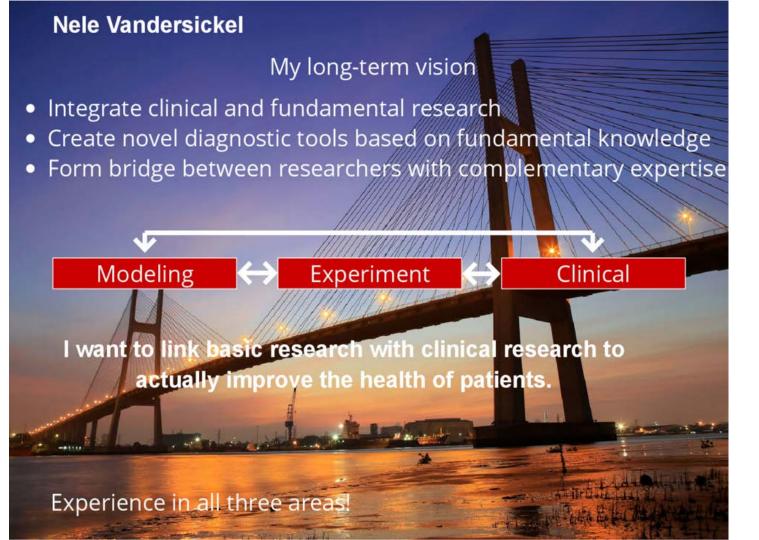


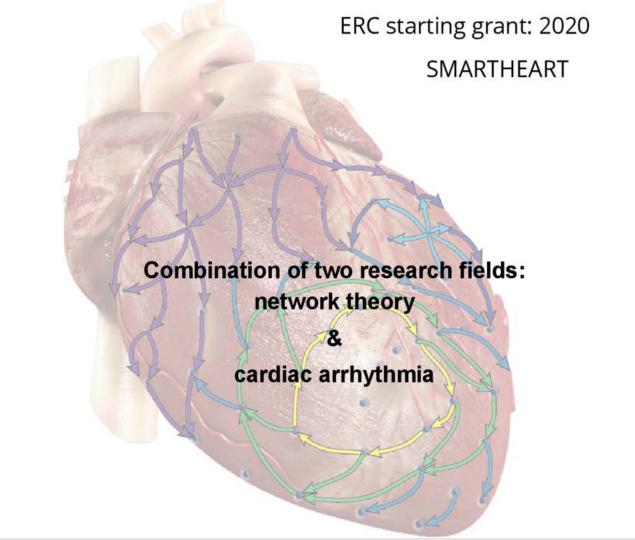


# Short break See you back in 10 minutes!

# **ERC** starting grant

casestudy





Created a new diagnostic tool to determine **automatically** the source and location of cardiac arrhythmia based on network theory



# Research proposal:

- Past the state of art: bring something new
- Yet already demonstrate the proof of principle: however not too much <-> incremental research
- Think about interdisciplinary projects (usually people really like that). Make sure you have expertise for both fields
- Speak to your audience: E.g. clinical audience? make proposal clinical and not too complicated specifically in B1
- Make proposal one unityRead the evaluation criteria!
- High (Dials high gains
- High/Risk high gain
- Important subject
   Name many plans P in case plan A does not we
- Name many plans B in case plan A does not work

### Own excellence

- I switched research field: was able to be successful in both of them
- Write small biography to demonstrate what you did so far (e.g. I have a very strange CV)
- (e.g. I have a very strange CV)Show scientific independence: publish as last author!
- Demonstrated the ability to conduct ground-breaking research
- Required scientific expertise and capacity to successfully execute the project

### General comments

- Start in time: it took me 7 month to write 1st proposal
  - 1 month to redo proposal
- Reuse your proposal in case you do not obtain ERC for other applications (e.g. B1 fits in research proposal to become professor)
- I used my proposal to write many papers already.

### IDED – Inheritance, Demographics, and Economic Development

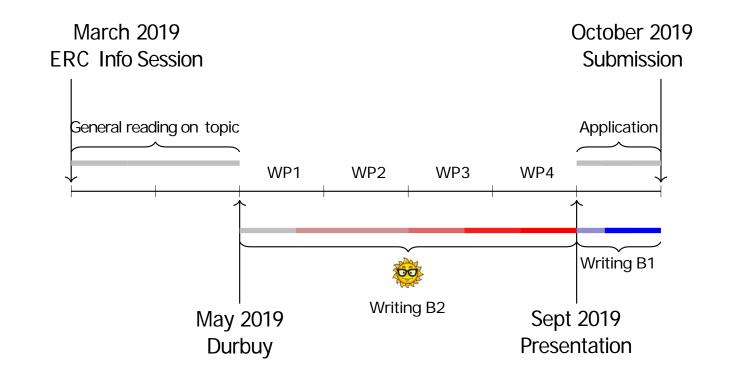
Paula E. Gobbi

Université libre de Bruxelles (ECARES) and CEPR

### Who am I?

- ▶Born in Buenos Aires (1985), Italian, and Belgian.
- ▶ PhD in economics from UCLouvain in 2013 with a visit at Northwestern University.
- ▶ 3 years postdoc at UCLouvain (FNRS), 1 year postdoc at the Paris School of Economics (ERC funded)
- Assistant Professor at ECARES, Universit e libre de Bruxelles since September 2017
- ► Mother of Elena (4yrs), Nicolas (2yrs), and Clara (4months).

### Application experience



### Tips

- 1. Start from a (big) gap in the literature.
- 2. Have your project read:
  - ▶B2: ask to possible co-authors and experts
  - ▶B1: ask to seniors
- 3. Talk about your project and present it internally some time before submitting.
- 4. Enjoy doing it. Take 3-4 months focused only on it.

### Filling a gap

- Economists study *inheritances* and *demographics* in isolation.
  - >/= other social scientists.
  - Examples:
    - partible inheritance ↔ nuclear families
    - impartible inheritance ↔ stem-family types
- General objective of the project:
  - •Understand how the interconnections between inheritance schemes and demographics affect economic development.



### Part III

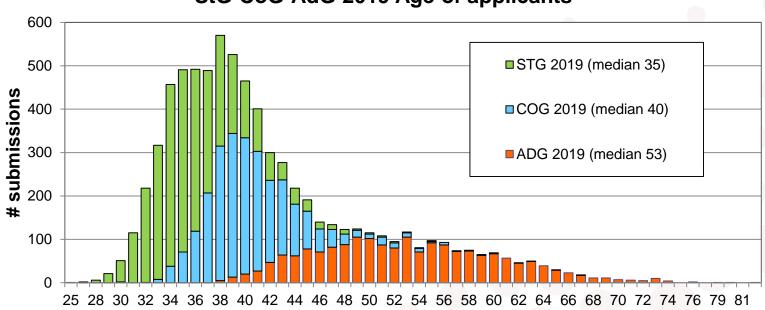
### **OUTCOME**



### Applicants by age



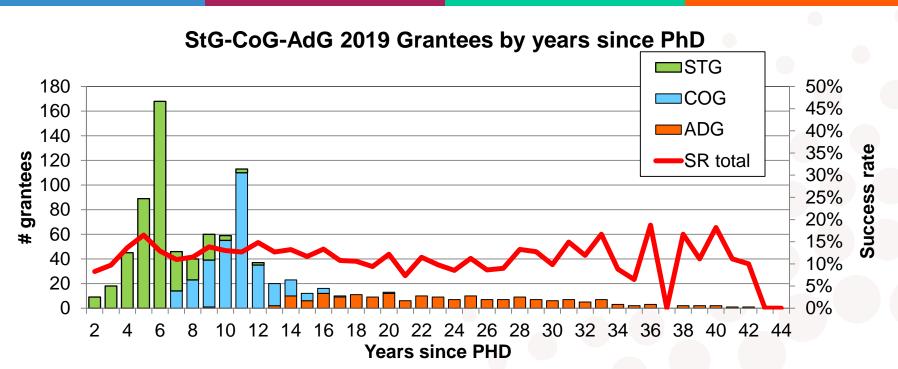






### Success rate by (academic) age



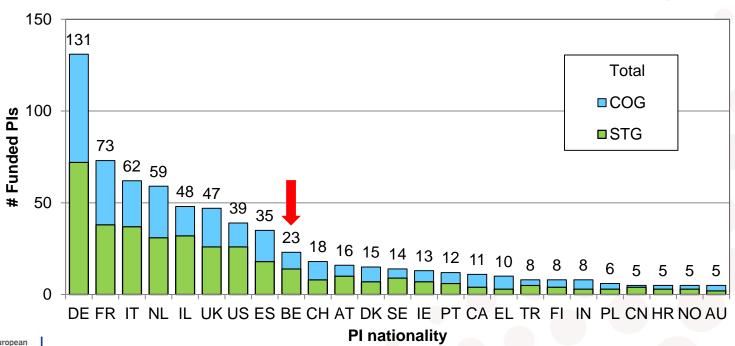




### Success rate by PI nationality



### StG-CoG 2019 Funded Pls by nationality

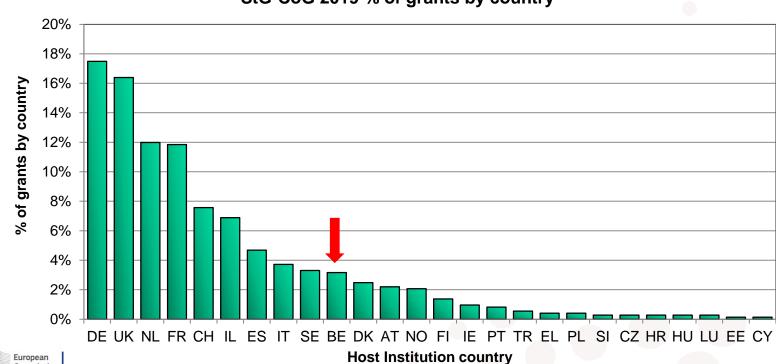




### **Success rate by HI country**





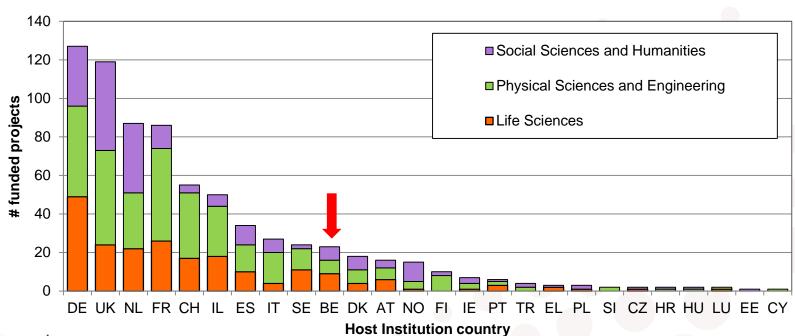




### Success rate by HI country & by Domain



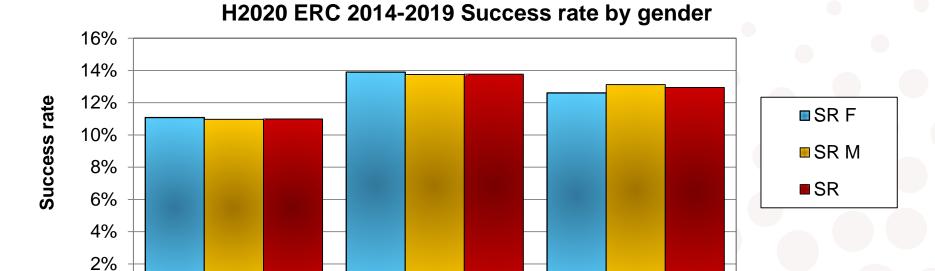
### StG-CoG 2019 Funded projects by domain



### Gender balance & Success rate

**ADG** 





COG

call

STG

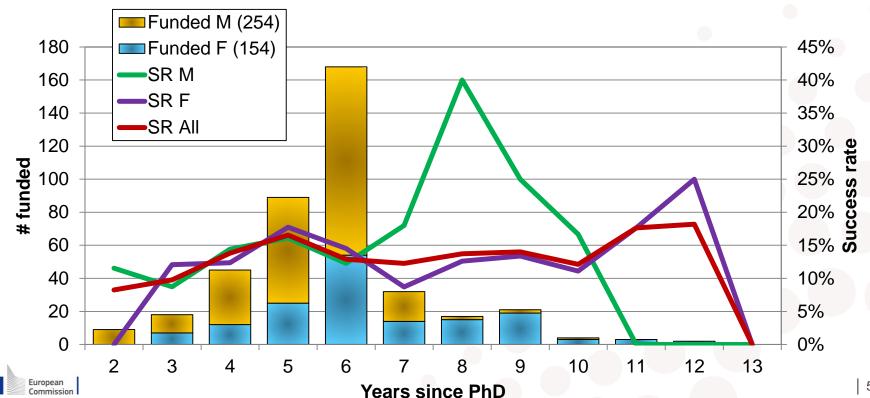


0%

### StG 2019: Success Rate & Gender balance

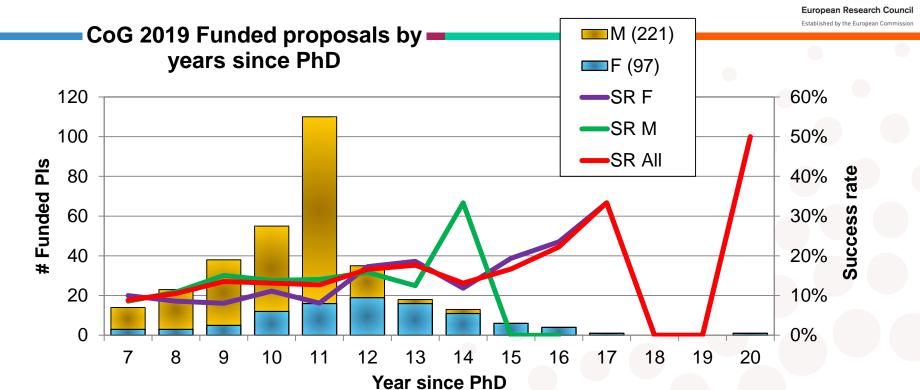


### StG 2019 funded proposals & success rate by years since PhD



### CoG 2019: Success Rate & Gender balance







### Some other data



Scientific Council: 60% of annual calls budget to StG & CoG

Average success rate in 2019 for StG & CoG: 13%

15%-20% of applications requests extra funding in LS & PE, 3% for SH

Re-submissions have 1.5 times more success than new applications





## Thank you & Good Luck!







# Thank you for your participation!

Please give us your feedback through the evaluation form

Good luck with your application

Bram Lefever, NCP ERC, BELSPO (EUROFED) Margot Beereboom, NCP ERC, NCP Flanders Natacha Wittorski, NCP ERC, NCP-FNRS







### Contact your ELO: Flemish academia and research centers



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#### **Federal institutions:**

Bram Lefever, NCP ERC

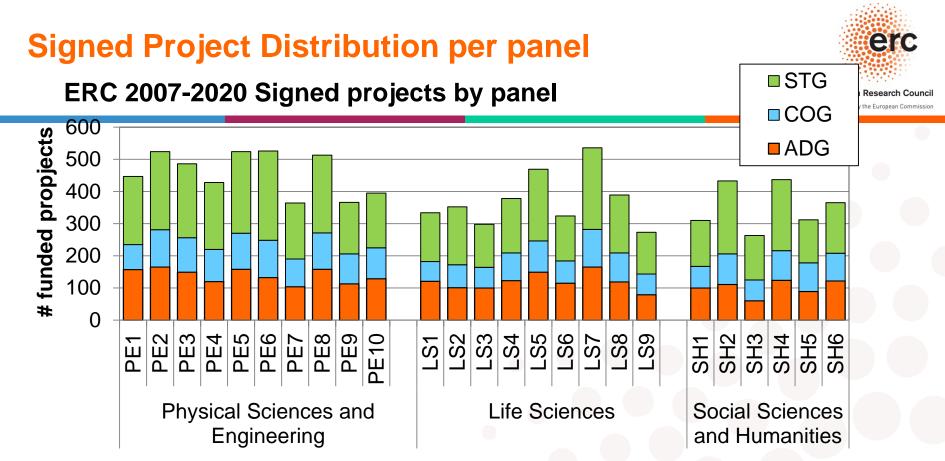
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Website: <a href="http://eurofed.belspo.be">http://eurofed.belspo.be</a>



### Back-up slides



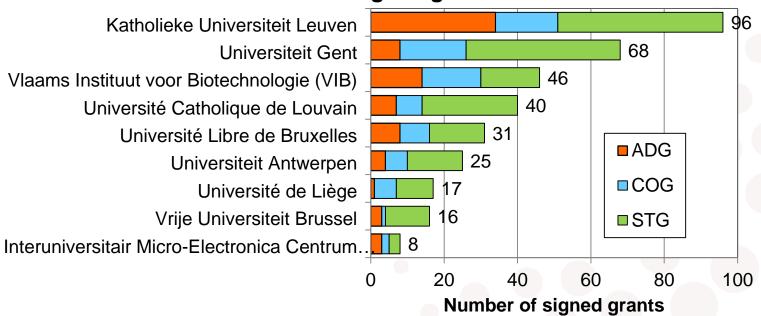








### **ERC StG-CoG-AdG Signed grants 2007-2020**





### **Overview Data for the 2019 calls**



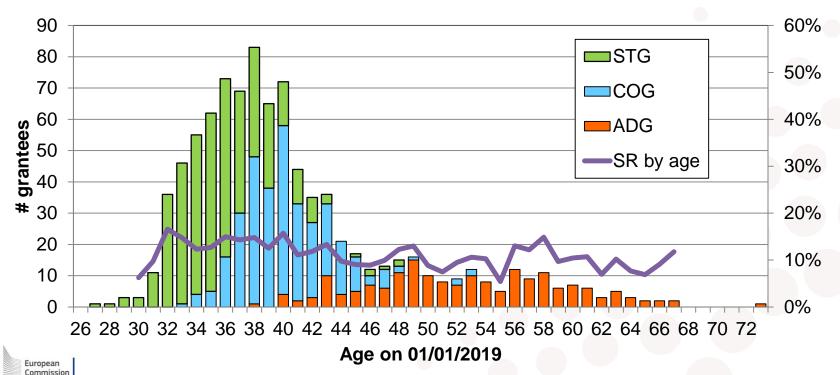
2019	StG	CoG	AdG	SyG	Total
# Evaluated	3060	2425	1836	285	7606
Change from 2018	-2%	+2%	-9%	-1%	-2%
# Grants	408	318	185	38	949
Success rate	13%	13%	10%	13%	12%
Amount awarded EU (M€)	620	636	451	374	2081
Female participation	38%	32%	19%	23%	30%
Avg. size grants (M€)	1.52	2.00	2.43	9.8	
% of total spending	30%	30%	22%	18%	
Median age Grantee	35	40	52	51	



### Success rate by age



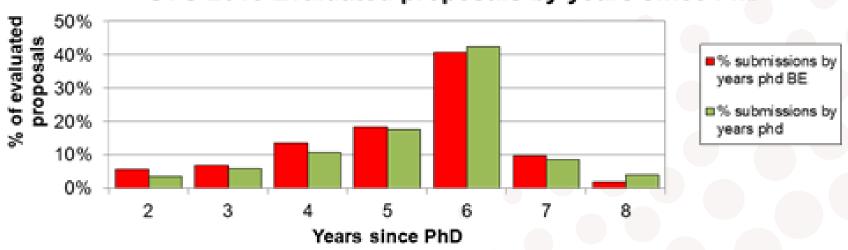
### StG-CoG-AdG 2019 Grantees by age with success rate



### **Evaluation rate as function of PhD years**



### STG 2019 Evaluated proposals by years since PhD





### Eligible HI countries under H2020



EU Member States Austria ΒE Belaium BG Bulgaria Cyprus Czech Republic DE Germany Denmark Estonia Greece Spain Finland France Croatia ΗU Hungary ΙF Ireland Italy LT Lithuania Luxembourg LV Latvia Malta NL Netherlands Poland Portugal

#### H2020 Associated Countries

AL Albania AM Armenia BΑ Bosnia and Herzegovina CH Switzerland IL Israel IS lceland FO Faroe Islands GE Georgia MD Moldova ME Montenegro FYR of Macedonia MK NO Norway RS Serbia TN Tunisia TR Turkey UA Ukraine

#### Other Countries

CN China RU Russia US United States

UK?

earch Council opean Commission

UK?



RO

Romania Sweden Slovenia Slovakia